## 1 CALIFORNIA DENTAL PRACTICE ACT 2017 2 WHY ARE WE HERE? • It's required for re-licensure • The DPA regulates & defines dental practice limits, dedicated to protecting public Updates What we will cover: • Licensure / renewal • The Dental Board, how to communicate • DPA Committees Violations **3** DENTAL PRACTICE ACT INCLUDES: • Definition of dentistry, specialties • Foreign dental schools, dentists • Education, qualifications, exams Special permits • Restorative materials (give fact sheet) -http://www.dbc.ca.gov/formspubs/pub\_dmfs\_english\_webview.pdf • Radiation safety • Diversion (addiction recovery without losing license) 4 PROP. 65: SAFE DRINKING WATER & TOXIC ENFORCEMENT ACT Must post (update annually): Use of chemicals that cause CA or reproductive toxicity • Bisphenol A (BPA) in composites, sealants (reproductive toxicity) Restorative materials Nitrous Oxide List available: oehha.ca.gov/proposition-65 5 DENTAL PRACTICE ACT Health & safety codes, infection control • Illegal acts, unprofessional conduct, gross negligence • Prescriptions / drugs · Criminal act reporting 6 DENTAL BOARD OF CALIFORNIA • Previously: Board of Dental Examiners • Operates as Bureau under Dept of Consumer Affairs • Regulatory Board for licensed: DDS, RDA, RDAEF • Highest priority of the Board = protection of the public 7 DUTIES OF THE BOARD

• General duties:

- -Enforce DPA with "Seal"
- -Examine license applicants
- -Apply & collect fees
- Compensation: per diem & expenses
- Employs assistants, attorneys, investigators
- Collect information

## 8 DUTIES OF THE BOARD

- Regulatory authority
  - –Inspect books, records, premises after complaint (<u>failure to allow inspection</u> = grounds for fines, license suspension, revocation) unless "good cause"
  - -Keeps records of licenses, actions
  - -Makes & enforces rules re: DPA
  - -Mandatory inspections of general & medical anesthesia & conscious sedation permit holders
  - -Random audits of CE records

### 9 CDB EXECUTIVE OFFICER

- Board's Exec. Officer is authorized to adopt, amend, or repeal rules & regs necessary to enforce DPA.
- Exec. Officer can approve settlements for revocation, surrender, or interim suspension of licenses without Board vote.
- Title 16, Sec. 1001

### 10 SUPREME COURT RULING

- Fed. Trade Commission vs. N. Carolina Dental Board
- Dental Board issued cease & desist orders against non-dentist teeth whitening providers & product manufacturers
- Businesses stopped
- Board was sued by FTC:
  - -"Board = price-fixing cartel"

### 11 UNRESOLVED.....

- FTC claims Board's actions = anticompetitive
- With a majority # of dentists on Board = "conflict of interest"
  - -Protecting public vs. protecting dentists businesses?
- Should oversight of Board, or # of dentists be changed?

### 12 BOARD COMMITTEES

### 13 FCS CREDENTIALING COMMITTEE

Elective Facial Cosmetic Surgery

- 2 oral & maxillofacial surgeons with FCS permit
- 1 oral and maxillofacial surgeon who is licensed by the Dental Board of California and the Medical Board of California, and is Board certified by the American Board of Oral and

Maxillofacial Surgeons

### 14 FCS CREDENTIALING COMMITTEE

Elective Facial Cosmetic Surgery

- 1 physician and surgeon licensed by the Medical Board of California with a specialty in plastic and reconstructive surgery.
- 1 physician and surgeon licensed by the Medical Board of California with a specialty in otolaryngology

## 15 DENTAL ASSISTING COUNCIL OF THE DENTAL BOARD OF CALIFORNIA § 1742

- Considers all Dental assistant issues, advises Board on:
  - -Requirements for licensure, exams, permits, renewal
  - -Duties, settings, supervision
  - -Standards of conduct, enforcement
  - -Infection control requirements
- Appointed by Board:
  - -Dental Assistant/board member, other Board member, 5 assistants

## 16 DENTAL HYGIENE COMMITTEE OF CALIFORNIA (DHCC) § 1900-1966.6

- Represents RDH's, RDH EF's (Extended Functions), RDH AP's (Alternative Practice)
- 1st of its kind in U.S.
- 9 members, appointed by Governor
  - -4 public
  - -1 practicing DDS
  - -4 RDH's: 1 educator, 1 RDHAP

## 17 DENTAL HYGIENE COMMITTEE OF CALIFORNIA (DHCC) § 1900-1966.6

- Issue, review, revoke licenses
- Develop & administer exams
- Adopts regulations
- Determines DH fees & CE regs
- Only DH Committee with complete control over school accreditation
- New DH Schools must show need & feasibility to DHCC B4 CODA

### 18 DH LICENSING

- Cal accepts Western Regional Examination Board (WREB) exam for RDH license
- License fees:
  - -renewal: \$80, delinquency: \$40

#### 19 DH LAWS

- License denied / suspended if fail to pay taxes
- RDH must be employed by DDS
- RDHAP may be:
  - -self-employed as sole proprietor of alternative hygiene practice in areas certified as dental healthcare shortage areas

-employed by another RDHAP as independent contractor

## 20 CURRENT DH ISSUES

- Now: if location no longer meets dental care shortage criteria, RDHAP must close business abandons pts.
- RDHAP's seek to improve collection for services
  - -equal pay, insurance processes
- DHCC needs more employees

## 21 INTERIM THERAPEUTIC RESTORATIONS (ITRS) BECOMES OPERATIVE 1/1/2018 AB 1174

- RDHs, RDHAPs, RDHEFs, RDAEFs may place ITRs (gen supervision)
- With dx & tx plan of licensed DDS
  - -Private & public settings
  - -Virtual dental home (telehealth)
- Requires formal training by DHCC / CDB approved course
- DH schools MUST qualify students (CODA Standard 2.18)

### 22 WHAT IS ITR?

#### INTERIM THERAPEUTIC RESTORATIONS

- Removal of caries with hand instruments
- Local anes. shall not be needed
- Direct provisional adhesive restoration (self-setting or resin-modified glass ionomer cement
- Follow-up care (OH, fluoride....)
- Must be part of comprehensive dental plan in a dental home

### 23 ITR SELECTION CRITERIA

- To prevent further decalcification of carious lesions
- Young, uncooperative pts
- Pts. with special needs
- When traditional tx must be postponed or is not feasible
- As part of step-wise carious excavation prior to definitive tx.
  - -Lowers oral bacteria
- AAPD, Oral Health Policies ref. manual V32/NO6 10/11

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### 24 DH BOARD ISSUES

- DHCC considering "measure of continued competency" for license renewal
- Should level of supervision change for:
  - -local anesthesia?
  - -Nitrous oxide-oxygen analgesia?
  - -Soft tissue curettage?
- Submit your inquiries: <a href="mailto:dhccinfo@dca.ca.gov">dhccinfo@dca.ca.gov</a>

### 25 ALL CLINICIANS: I.D. YOURSELF!

- Must display (on name tag or in office):
  - -Educ. Degree
  - -Graduate / postgraduate educ. In specialty
  - -License type & status
  - -Board certification
  - -For supervising physicians & surgeons; hours in facility

## 26 SHOW YOUR QUALIFICATIONS

### ....IF YOU DO THESE:

- Top 5 minimally invasive cosmetic procedures being done:
  - -Botox
  - -Hyaluronic acid fillers
  - -Chemical peel
  - -Laser hair removal
  - -Microdermabrasion
- Must post degree/ qualifications
- Must be licensed

### 27 NOTICE TO "CONSUMERS" OF LICENSURE BY DENTAL BOARD

Every DDS MUST provide notice to each patient:

"Dentists are licensed and regulated by the Dental Board of California (877) 729- 7789

http://www.dbc.ca.gov"

16 CCR 1065

### 28 NOTICE TO CONSUMERS OF LICENSURE BY DENTAL BOARD

Every DH MUST provide notice to each patient:

"Dental Hygienists are licensed and regulated by the Dental Hygiene Committee of California

Business and Professions Code

Division 2, Ch. 4, Article 9

Sections 1900 - 1966.6"

### 29 CONTENT OF DPA

- The practice of Dentistry defined:
  - § 1625. Dentistry is the diagnosis or treatment, by surgery or other method, of diseases and lesions and the correction of malpositions of the human teeth, alveolar process, gums, jaws, or <u>associated structures</u>; and such diagnosis or treatment may include <u>all necessary related procedures</u> as well as the use of drugs, anesthetic agents, and physical evaluation.

## 30 THE RULES APPLY TO DENTISTS WHO:

• Identify self in writing as DDS

- Perform (or offer to) tx or diagnose any oral structures
- Indicates he or his agents will alter, construct, repair, or sell any appliance or restoration
- Examine (or offer to) oral structures with intent to treat
- Manages, leases, runs any dental facility

### 31 **EXEMPTIONS**

- Legal executor of deceased DDS estate may operate practice 1 yr if all legal notification and practice limits are observed
- Students in approved programs
- Emergency services rendered in good faith at scene away from office
- Treatment of an emergency arising from prior tx by another DDS: (not liable for any <u>civil</u> damages)
- DDS not liable for failure to inform if:
  - -Pt unconscious
  - -DDS thinks immediate tx necessary: no time
  - -Pt incapable of giving consent, no time to seek from authorized person §1627
  - -Unicode: U+00A7, UTF-8: C2 A7

#### 32 VIRTUAL DENTAL HOME

- AB 648 (Teledentistry)
- Expands VDH to service locations of greatest need

### 33 NEW LICENSURE BY PORTFOLIO

- Dental students graduate with "portfolio" model exam process over the final year of dental school
- Not a requirement, but allowed
- First licensure-by-portfolio-examination: UOP

## 34 WHO CAN TURN US IN? ....AND HOW?

- Patients: not anonymous, public record created, other DDS's brought in
- Staff: can be anonymous

## 35 CAN A PATIENT TURN YOU IN FOR REQUIRING X-RAYS?

### 36 YES, BUT YOU WILL WIN!

• X-ray info = standard of practice for assessment & tx

## 37 OSHA COMPLIANCE = BOARD COMPLIANCE

- Written program
- Employee safety conditions
  - -IC, physical & chemical safety
- Training / communication

- -Includes unlicensed DA IC training
- Facility, equipment, supplies
- Compliance by EVERYONE!
- OSHA & Board & police share info

### 38 LICENSE RENEWAL

- Ea. 2 years, end of birthday month
- No grace period; "practicing without a license"
- Fee assessed 30 days after lapsed
- Receive notice 60 days ahead, still your responsibility if no notice
- By mail: 6-8 weeks to update, 6-8 more weeks to get pocket lic.
- On line: 48 hrs, 2 -3 weeks (pocket lic)

## 39 LICENSE RENEWAL

- Disciplinary cases:
  - -"practicing with expired licenses"
  - -Some for up to 20 years!
- Employer: responsible for (must check) licensure status of staff
- Increased DDS renewal fees: \$537 with drug license

### 40 THE CHALLENGES

- Chasing unlicensed "dentists"
- Keeping them from re-surfacing
- Protecting public

### 41 CE REQUIREMENTS

- Dentists 50 units
- ADHP's 25 units (RDHAP 35)
- Must include Infection Control (2 hrs), CDPA (2 hrs) & CPR (mandated content)
- Special permit holders (GA, CS): subject- specific CE required for permit renewal
- DA's must pass (once):
  - -radiation safety
  - -coronal polishing
  - -Comprehensive infection control (Jan, 2010)

### 42 MANDATORY CE

- 80% must be scientifically oriented courses directly related to dental practice, benefiting patients
- 20% may primarily benefit DHCW, but must also benefit pt.
- 50/50 (live vs. remote) rule still applies
  - -Clearly defined "live" course work
  - -Clearly defined "home study" (< 25 hrs)
  - -On-line / computer courses = home-study
- Increased provider responsibility for data on CE verification slips

43 NON-ELIGIBLE CE SUBJECTS
<ul><li>Personal money management, "marketing"</li></ul>
<ul> <li>Basic subjects not related to dental practice</li> </ul>
<ul> <li>General physical fitness, licensee's personal health;</li> </ul>
<ul> <li>Basic skills - memory training &amp; speed reading</li> </ul>
• Courses where dentist is the primary beneficiary.
44 <b>Q</b> :
• Do we have to wear a name tag?
45 <b>A</b> :
• Yes, 18 pt. Type or larger
• <u>Unless</u> license is in public view
46 PATIENT TREATMENT RECORDS: CAN YOU INITIAL YOUR ENTRY?
47 <b>YES</b>
• Must <u>sign</u> or:
• May <u>initial plus ID #</u>
• Must date entry
48 CAN YOU CHARGE FOR PROVIDING PATIENT RECORD COPIES?
• Yes.
• "Reasonable <u>cost-based</u> fees"
• For supplies, time
Both paper & electronic files
49 HIPAA
HEALTH INFORMATION PORTABILITY & ACCOUNTABILITY ACT
50 2 HIPAA STANDARDS
• Privacy
–Control of PHI disclosures
• Security
<ul><li>–Safeguard PHI specifically in electronic form (ePHI)</li></ul>
51 OMNIBUS RULE - 2013
• Non-compliance = civil offense
• Penalties: \$100 - \$50,000 / offense
• Under DOJ (Dept. of Justice): Unauthorized disclosure or misuse of protected healt
info. = criminal. Fines - \$250,000 & 10 years prison
<ul> <li>Civil penalties also</li> <li>Applies to all covered entities: Medical, insurance, financial, government bodies</li> </ul>
Applies to all covered challes. Medical, insulance, infancial, government bodies

### 52 ELECTRONIC TRANSACTIONS

- Should be standardized (forms, terms, rules)
- More efficient, less costly
- Fewer mistakes such as:
  - -Wrong referrals
  - -Missing authorizations
  - -"Leaked information"
  - -Costly delays
- Learn medical / dental codes
- Use unique identifiers (name vs. SS#)

### 53 HIPAA

- Must have written agreements with ANY entity that sees pt. Info.
  - -File copy services
  - -When electronic files / images used
  - -Testimonials, social media, marketing
- Encrypt data & physically protect

### 54 MANDATED REPORTING

- 65% of physical child abuse = visible in head / neck region
- 75% of physical injuries from domestic violence are to head, face, mouth & neck
- Dentists, Hygienists, assistants = responsible to report suspected child, elder, domestic & disabled: <a href="mailto:abuse & neglect.">abuse & neglect.</a>
- \$1000 fine & jail for NOT Reporting (liable for civil or criminal prosecution)

### 55 WHAT IS ABUSE?

- Spectrum of repetitive behavior
- Non-accidental physical injury by another person
  - -Physical abuse & neglect
  - -Sexual abuse
  - -Emotional abuse
- Fatal abuse is often preceded by minor maltreatment
  - (Pen. Code 11165.6)

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### 56 REPORTABLE ABUSE

- 1 CHILD, ELDER & DEPENDENT ADULT, DOMESTIC VIOLENCE
- 2 Child = through 18 yrs,
  - Elder = 65 yrs + older
  - Special disabilities any age

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• (Pen. Code 11165.6)

57 Provider/patient privilege does NOT apply • Must report if patient / caregiver confides, you suspect abuse / neglect 58 REPORT CHILD / ELDER ABUSE: CALL, THEN WRITTEN REPORT • Must report suspected abuse to a county child protective agency or police • Must report elder or dependent adult abuse to county • Domestic (physical) violence: to local police What do you look for??? 59 CLINICAL SIGNS OF ABUSE • Bruises, burns, lacerations, abrasions, head injuries, skeletal injuries (head, neck, limbs, etc) • Fractured, abscessed teeth • Healing or healed bones (X-rays) • Bite marks Hair loss Strangulation marks 60 STRANGULATION • 10% of violent deaths in US each year = strangulation • Victims = 6 X more females than males 61 STRANGULATION • Defined as: asphyxia due to closure of blood vessels &/or airway • Only 11 lbs of pressure on both carotids for 10 sec. → unconsciousness • 33 lbs of pressure closes trachea 62 STRANGULATION: LOOK FOR: • Visible neck scratches, abrasions, bruises, scrapes -Defensive & attack wounds • Voice changes: hoarseness, complete loss of voice • Swallowing / breathing difficulty, pain: may progress to death up to 36 hours after injury 63 DENTAL NEGLECT • Failure of fully informed parent / caregiver to seek or follow through with dental tx essential for adequate function & freedom from pain & infection 64 ELDER ABUSE WHAT SHOULD YOU LOOK FOR? • Bruises, physical injuries

• Fear, anger,

- Inappropriate behavior
- Depression
- Notice interaction between caregivers & elder or child

### 65 DOCUMENTATION / REPORTING

- Objective observations, descriptions
- Quote pt comments
- "My husband whacked me hard this time!"
- \*\*Patient / Provider privilege does NOT apply: MUST REPORT
- · Observe demeanor, behavior
- "pt ducked when husband raised arm to make a point"

### 66 DOCUMENTATION / REPORTING

- Child abuse: call, then send written report within 36 hours
- Elder & dependent adults: call, send written report within 2 working days of phone call
- Domestic (physical) violence: call, send written report within 2 days

### 67 CALL, THEN WRITE A REPORT

- If immediate danger: 911!!!
- Child Protective Services
- Childhelp USA National Child Abuse Hotline: 800-422-4453
- County <u>Adult</u> Protective Services
- Elder & Dependent Adult Abuse / Neglect Hotline: 888-436-3600
- National Domestic Violence Hotline: 800-799-7233

### 68 EMPLOYEE ACKNOWLEDGEMENT REQUIRED

- Employees must be trained and <u>sign a statement</u> of understanding, training and willingness to comply with law (C.P.C. 11166.5[a])
- Sign, date & witness forms
- Place in personnel file & give copies to employee
- The Reporter Responsibility and Sample Employee Form supplied by Cal. Dept. of Social Services Office of Child Abuse Prevention

# 69 AUXILIARY SCOPE OF PRACTICE DPA LEGALLY DEFINES:

- Allowable duties
- Level of supervision
- Allowable settings
- Illegal practice
  - -Criminal offense
  - -License discipline for person & anyone aiding & abetting
- Education, qualifications

### 70 RDA DUTIES, SETTINGS

Allowed duties specifically listed

- All other duties NOT allowed (illegal duties represent dentistry; require knowledge, skill, training of licensed dentist)
- <u>All auxiliary</u> duties & settings (supervision), must be posted in office, visible to all employees

### 71 SPECIAL PERMITS

- 2 Dental Assistant categories
  - -Orthodontic Assistant (OA)
  - -Dental Sedation Assistant (DSA)
  - -RDA's & DA's may earn permits

### 72 SUPERVISION

- <u>Direct</u> supervision:
  - -Procedures based on instructions given by licensed dentist
  - Dentist must be physically present in tx facility during performance of those procedures
- <u>General</u> supervision:
  - -Procedures based on instructions given by licensed dentist
  - -Dentist's physical presence not required during procedure

### 73 SUPERVISION

- N: Not permitted
- C: Allowed in specified setting, under supervision of DDS, RDH, RDHAP
- DD: Dentist decides (G or D)
- G: General
- D: Direct
- WS: Without supervision

#### 74 HOW DOES D DIFFER FROM WS?

- Direct: Dr. must be present & has examined pt, prescribed care
- WS: without supervision: Dr. has not examined patient prior to tx

### 75 WHAT IS ALLOWED?

- DA: unlicensed, May perform:
  - -specified <u>dental</u> supportive procedures under supervision of licensed dentist:
  - -technically elementary, completely reversible, will not cause possible harm
- RDA: licensed,
  - -may perform: DA duties + other specified procedures, under varying supervision
- RDAEF: licensed + completed post-licensure clinical & didactic approved training & testing, may perform: RDA duties + others

## 76 SELECTED RDA ALLOWED DUTIES § 1752.4 SECTION SIGN

**UNICODE: U+00A7, UTF-8: C2 A7** 

- Mouth mirror inspection, charting
- Apply, activate bleaching agents: nonlaser light-curing device

	<ul> <li>Automated caries <u>detection</u> devices</li> <li>for dentist to diagnose</li> </ul>
77	RDA ALLOWED DUTIES § 1752.4 SECTION SIGN UNICODE: U+00A7, UTF-8: C2 A7 • Imaging for CAD milled restorations • Pulp testing, recording • Place bases, liners, bonding agents • Chemically prep teeth for bonding
78	RDA ALLOWED DUTIES § 1752.4
	<ul> <li>Place, adjust, finish direct temps</li> <li>Fabricate, adjust, cement, remove indirect temps, including stainless steel crowns IF PROVISIONAL</li> </ul>
79	WHO IS RESPONSIBLE IF PATIENT DOESN'T RETURN FOR FINAL RESTORATIONS?
80	YOU  • Follow up  • Keep records  • Document!
81	RDA MUST HAVE APPROVED TRAINING FOR: § 1752.4
	<ul> <li>Removing excess supra-gingival ortho cement using ultrasonic scaler</li> <li>Applying pit &amp; fissure sealants</li> <li>Orthodontic permitted duties</li> <li>Dental sedation assistant permitted duties</li> <li>DD except if working with RDHAP § 1777</li> </ul>
82	RDAEF (EXTENDED FUNCTIONS) DUTIES, SETTINGS § 1753.5
83	RDAEF DUTIES, SETTINGS § 1753.6  • RDAEF: Licensed before Jan. 1, 2010, completed post licensure approved training & exam;  • All RDA duties plus: (supervision – D or DD):  —Cord retraction for impressions  —Final impressions for permanent indirect restorations  —Formulate indirect patterns for endo post & core castings
	-Fit trial endo filling points

- -Pit & fissure sealants
- -Remove excess subgingival cement with hand instrument
- Must demonstrate additional approved training to do more

## 84 RDAEF DUTIES, SETTINGS § 1753.5

- RDAEF: Licensed after Jan. 1, 2010, completed post licensure approved training & exam:
- All RDA duties plus: (supervision D or DD):
  - -Preliminary eval: oral health (not limited to: charting, intraoral & extraoral soft tissue, occlusion classification, myofunctional eval.
  - Assess oral health in community health settings supervised by DDS, RDH, RDHAP
  - -Place retraction cord for impressions
  - -Take final impressions for permanent restorations & tooth-borne removable prosthesis

## 85 RDAEF DUTIES, SETTINGS § 1753.5

- -Polish & contour existing amalgams
- -Size, fit & cement endo master & accessory points
- -Place, contour, adjust all direct restorations
- -Adjust & cement permanent indirect restorations
- -Remove excess subgingival cement hand instrument
- Settings: under jurisdiction & control of dentist in approved facility
- DDS May use no more than 3 RDAEF's or RDHEF's § 1753.7

### 86 RDH WHAT IS ALLOWED?

- RDH: licensed,
  - –May perform all specified DH duties & DA & RDA duties under specified supervision
  - -RDH licensed after 1/1/2006 must also have RDA license to perform RDA duties!
- RDHEF: same as RDAEF operative duties under supervision, with training, same settings
- RDHAP: Same RDH scope, practice independently;
  - -without supervision
  - -but with prescription from dentist or physician & surgeon

## 87 WHAT RDH DUTIES REQUIRE DIRECT SUPERVISION?

### 88 **A**:

- Placement of non-removable medicaments
- All direct supervised RDA duties unless otherwise indicated
- Perio soft tissue curettage (pre-certification required)
- Local anesthesia <u>limited to oral</u> <u>cavity</u> (pre-certification required)

	• Nitrous oxide & oxygen using fail-safe machines, no general anes. (pre-cert req)
89	IS THIS OK?
	<ul> <li>RDH takes laser training but does not have an official certificate.</li> <li>She uses the laser for sulcular "sterilization" after scaling.</li> </ul>
	• Dr. did not specifically prescribe use of the laser, and has left.
90	YES § 19120-1914
	<ul> <li>A DH may use any material or device approved for use in the performance of a service or procedure within his/her scope of practice under appropriate supervision if he/she has the appropriate education and training required.</li> <li>Duties not requiring D supervision are GS</li> </ul>
91	RDH SCOPE § 1911
	<ul> <li>Includes assessment, development, planning &amp; implementation of DH care plan.</li> <li>Oral health educ, training, screenings</li> <li>Pts with abnormalities will be referred to dentist</li> </ul>
92	RDH SCOPE DOES NOT INCLUDE:
	Diagnosis, comprehensive tx plan
	Placing, condensing, carving, or removal of permanent restorations
	<ul> <li>Surgery or cutting of hard and soft tissue including (not limited to) removal of teeth &amp; cutting &amp; suturing of soft tissues</li> </ul>
93	RDH SCOPE DOES NOT INCLUDE:
	Prescribing medication
	Admin gen anes, oral / parenteral conscious sedation
94	DIRECT OR GENERAL SUPERVISION?
	• Dr is coming back from lunch, RDH needs to administer anesthesia.
	<ul><li>Dr. calls when she is in the parking lot.</li><li>Can RDH anesthetize before Dr. arrives?</li></ul>
05	
95	NO
96	<ul> <li>IS THIS OK?</li> <li>A dental hygiene patient needs subgingival irrigation with liquid antibiotic.</li> <li>The Dr. Left for a meeting.</li> <li>The DH irrigates, records it and dismisses the patient.</li> </ul>
97	YES
	• RDH & RDHEF: General  —BUT Must be prescribed by DDS  • RDHAP: WS
98	IS THIS OK?
	• The DDS is not in the office and has not seen the lesion

	• The hygienist takes a sample using an oral exfoliative cytology kit and dismisses the patient.
99	NO
	• This is general supervision:
	• DDS must prescribe
	• EXCEPT for RDHAP (WS)
100	RDHAP (ALTERNATIVE PRACTICE) DUTIES, SETTINGS
	• Licensed, completed approved AP post-licensure training
	• May treat a pt for <u>up to 18 mos.</u> without proof of DDS visit.
	• Then, must have prescription from DDS or MD & surgeon: required to include:
	-Date services prescribed
	<ul><li>Expiration date (up to 2 years)</li><li>DH services, special instructions</li></ul>
101	RDHAP LAWS
101	•
	• RDHAP's can apply for mobile DH clinic permit
	• RDHAP's must apply for additional office permit before opening more offices
	• Prop AB 502: eliminate requirement for DDS's prescription to continue tx of pt after
	18 months
102	RDHAP
	RDHAP must document relationship with dentist for referrals, emergencies
_	-1 or more dentist, with active licenses, not under discipline by board
103	IS THIS OK?
	• RDHAP runs a mobile clinic.
_	• She hires an RDH and an assistant to perform within their licensure.
104	NO
	• RDHAP's can not hire and supervise RDH's
	<ul> <li>RDHAP's can hire other RDHAP's</li> <li>They can hire &amp; supervise dental assistants for intraoral retraction and suction</li> </ul>
105	
106	NO
	<ul> <li>DDS can simultaneously utilize no more than 3:</li> <li>RDAEF's OR RDHEF's</li> </ul>
107	Q:
	• Can RDA's bleach teeth?
108	A:
	• Since 2000, RDA's may apply agent, activate with non-laser light (DD)
	• (DA's, OA's, DSA's may not)

109	Q:
	• Who may place fluoride varnishes?
110	A:
	Considered non-toxic
	All auxiliaries may place
111	Q:
	• Can RDA's use ultrasonic scalers?
112	A:
	Only if completed approved training
	Only supragingivally
	Only to remove ortho cement
113	MOST RECENT ABUSES
	• Botox injections
	–"improved smiles"
	-cosmetic enhancement
	• Hiring RDAs for RDH duties
	Aiding & abetting unlicensed practice of dentistry      Spandantistry by pan licensed authorising (manipulists)
	<ul><li>Spa dentistry by non-licensed estheticians / manicurists</li><li>Sedation dentistry without permit</li></ul>
	·
114	CAN A DDS USE BOTOX?
	• Therapeutic use: yes, if within scope of practice & if trained
	• Cosmetic use: yes, if have Elective Facial Cosmetic Surgery permit (from DBC) & within scope of practice (only 26 DDSs have permits)
	• Category 1 permit: facial bone & cartilage structures
	Category 11 permit: soft-tissue contouring, rejuvenation
	•
	•
115	ORAL PIERCING IS NOT PRACTICING DENTISTRY
116	LASER TATTOO REMOVAL IS NOT PRACTICING DENTISTRY
117	PEDIATRIC DEATHS
	RELATED TO DENTISTRY (U.S.)
	• 46 confirmed deaths since 1974
	Greatly under-estimated stats
	• Most are related to:
	–Anesthetics / drugs
	-Airway obstruction
118	ADA RECOMMENDS PARENTS ASK:

- Who will provide preoperative evaluation (including pt. history)?
- How long should child be without food & drink?
- What is the pre-op med & how is it monitored?
- What training & experience does the anesthesia provider have?
- Do assistants have current emergency resuscitation training?

## 119 ADA RECOMMENDS PARENTS ASK:

- Does State require special licensure for the level of sedation provided?
  - -Does Dr. & staff have this licensure?
- In addition to local anesthesia what level of sedation will be given?
  - -minimal: relaxed / awake
  - -moderate: sleepy / awake
  - -deep sedation: barely awake
  - -general anesthesia: unconscious

### 120 ADA RECOMMENDS PARENTS ASK:

- How will the child be monitored before, during & after the procedure until released to go home?
- Are appropriate emergency medications & equipment immediately available if needed?
- After the procedure:
  - -Will the provider give instructions and emergency contact information after child is released?

### 121 OKLAHOMA VIOLATIONS

- No written or practiced IC policy
- Dental assistants performing IV sedation illegally, unsupervised
  - -Insert IV's
  - -Determine drugs & doses (before Dr. checks)
  - -No drug logs
  - -Drugs unlocked, unorganized, scattered
  - -Outdated meds

## 122 CASE: DDS - IMPROPER PRESCRIBING OF DRUGS LICENSE REVOKED

- Prescribed several drugs to his step sons who were not his pts.
- Prescribed for non-dental related problems.
  - -Ear infections
  - -Sinus infections
  - -Refilled asthma meds.
- Prescribed antibiotic Azithromycin several times over 2 year period
- Convicted of insurance fraud & unlawful practice of medicine

### 123 CAN YOU SELF-PRESCRIBE?

### (CONTROLLED DRUGS)

## 124 NO

### 125 TAMPER-RESISTANT PRESCRIPTION FORMS: MUST BE PRE-PRINTED

- For controlled substance prescriptions
- Effective July 1, 2012
- Printers of forms must be approved by Dept. of Justice
- MUST REPORT theft / loss of forms within 3 days
- Ordering & receiving forms = strictly mandated
  - § 11164

### 126 PRESCRIPTION DISPENSING

- Labeling requirements (dispensing in coin envelope or container):
  - -Patients name
  - -Doctor's office name
  - -Date dispensed
  - -Name of drug
  - -Dosage
  - -Quantity
  - -Exp. Date
  - -Directions for use

### 127 PRESCRIPTION DRUG MONITORING PROGRAM

- = State database of patients with controlled-substance abuse history
- Dr.'s may access only for pt. care
- HIPAA & state health info. privacy laws apply.
- Dr.'s with DEA #'s apply online for access to the program at: oag.ca.gov/cures-pdmp
- Need updated browser

### 128 **CURES 2.0**

"Controlled Substance Utilization Review & Evaluation /System"

- DOJ (Dept. Of Justice) training videos
  - -Employee & prescriber rules of use
  - -How to access & use info
- DOJ Cal. Info. Practices Act
- (Civ: 1798-1798.1)

# 129 CAN YOU "CALL IN" PRESCRIPTIONS? (FOR CONTROLLED DRUGS)

### 130 **YES**

- In emergencies
- Pharmacist creates paper script, signs it
- DDS confirms in writing 72 hours if high abuse potential drugs

- Pharmacist notifies DoJ within 7 days
- Include all required info and license #'s in accessible records

•

• Exceptions?

### 131 DEA RECLASSIFICATION OF HYDORCODONE-CONTAINING MEDS

- Examples: norco, Percocet, morphine, demerol, Vicodin
- Now = Schedule II drug
- Requires Sched. II authority to prescribe
- Visit DEA website confirm your registration is up-to-date (pharmacists will check)

### 132 HYDROCODONE DRUGS

- Emergency prescriptions may be denied if called in
- No renewals allowed

### 133 PRESCRIBING ABUSES

- Over prescribing to both patients and non-patients
  - -Must show doctor-patient relationship
  - -Must show relationship between drugs & dental treatment
  - -Dr. must see pt first,
  - -ONLY Dr. may prescribe
- Lack of documentation
- Records: must be kept in 3 places: pt. Chart, separate in log & out log

### 134 UNPROFESSIONAL CONDUCT

- Concerns both patients & employees:
  - Lack of informed consent
  - -Negligence
  - -Sexual misconduct
- B & P Code 1680 "the committing of any act / acts of gross immorality substantially related to the practice of dentistry is considered unprofessional conduct."

### 135 UNPROFESSIONAL CONDUCT

- Past felony convictions may affect licensure
- New convictions if substantially related to RDA, RDH, or DDS qualifications, functions or duties must be reported to the DBC, may be grounds for license revocation

## 136 UNPROFESSIONAL CONDUCT

### **FAILURE TO:**

- Tx plan
- Show consistency in tx planning below standard of care
- Do or record periodontal charting
- Inform of conditions, financial obligations, gather consent for tx, review history prior to tx

## 137 CONSENT

• 2 types: <u>simple</u> (when risks = commonly understood & remote)

- -Cleanings, simple fillings
- Informed: required for surgery, extensive tx, or large number of simple procedures
- Must explain: Nature of tx, risks, complications, likelihood of success, expected benefits & alternatives (including NO tx & those risks)
- NOT getting consent & tx beyond consent = Battery

#### 138 CONSENT TO TREAT MINORS

- Under age of 18 = minor
- Minors cannot legally consent to tx or financially commit
- Dr.'s must not treat without <u>clearly documented</u> parental consent (potential liability)
- ONLY EXCEPTION: ortho maintenance, all consents documented fully prior

## 139 CAN BIO PARENTS GIVE CONSENT?

- Married: yes, unless disagree
- Unmarried moms: yes, always
- Unmarried dads: yes, if no question of paternity & mom agrees
- Divorced:
  - -Yes either parent can consent if both have joint custody & they agree!
  - -No, if court orders 1 parent has medical/dental decision rights

## 140 MINOR CONSENT

- Adoptive parents: yes, same as bio parents
- Step parents: NO, never unless adopted child
- Older sibling?
- Teen mother?
- Aunt, other family, not legal guardian?

### 141 UNPROFESSIONAL CONDUCT

- Failure to refer to a specialist
- Not practicing within the standard of care provisions

### 142 APPLY DENTAL LAWS & DPA REGULATIONS DAILY

- Protect yourself & staff
- Protect your patients
- Improve public image
- How?
  - -Good will, "patients first"
  - -Listen! Communicate!
  - -Follow up (post-op calls...)

### 143 COMMUNICATING WITH THE BOARD

- http://www.dbc.ca.gov/
- 877-729-7789 (Toll Free)

916-263-2300 (Direct)

714-247-2100 (Tustin Field Office)

• Cal DPA with Related Statutes & Regs, 2008 -800-223-1940, <u>www.lexisnexis.com</u>

• DHCC: <a href="mailto:dhccinfo@dca.ca.gov">dhccinfo@dca.ca.gov</a>